

南京大学  
社会学院

Nanjing University  
School of Social and Behavioural Sciences

古德曼

Prof David S G Goodman

## 中国的社会变迁

## Social Change in China

本课程研讨的重点是自改革开放以来中国社会在过去 30 年经历的重大变化。从历史学的角度，这些变化主要集中在三个方面，每一方面都将在课程中深入讨论：社会阶层、区域的多样性和社会平等。有关这三个方面的授课将采用类似的模式，即包括讲座、小组讨论和实际项目。课程的一部分将用来帮助大家提高采用英语进行学术研究和交流的能力。

This course is concerned with the major changes undergone by Chinese society in the last thirty years since the beginning of reform and openness. Placing these changes in historical perspective it focuses on three broad topics, each of which is considered in depth: social classes; regional diversity; equality. Work on the three broad topics will follow a similar pattern, comprising lectures, discussion groups, and practical projects. Part of the course will also be devoted to developing English-language academic skills.

学生将被分为几个学习小组。将以小组的形式首先展开讨论，然后大家汇集在一起，每个小组向大家汇报讨论的情况。这些小组也将是进行实际项目的团体，将向大家就实际项目进行讲演。

Students will be divided into study groups. These groups will engage in group discussion before reporting back to all students on the course meeting together. These groups will also be the groups that organize practical project presentations to all students on the course meeting together for discussion.

学生的成绩评定来自于完成一个讲演，这个讲演还将延伸为一篇 2000 字的评论，以及参加一个小组的实际项目，实际项目的主题必须是本课程的三大主题之一。

Student assessment will be through completion of one presentation for discussion, that will lead to completion of a 2000 character essay; and participation in a group practical project, on one of the three broad topics.

在这门课程上，每位同学将：

- 1、在讨论环节中准备和完成一个课堂讲演；
- 2、根据你的讲演写一篇短文；
- 3、作为小组的一员，准备和参加实际项目的课堂讲演。

Each student will be expected to:

1. Prepare and make one presentation in a discussion session
2. Write an essay, based on the discussion session presentation
3. Be part of a team preparing and making a presentation on one practical project

本课程的课堂教学为每周 3 天，每天 3 小时，从 10 月 13 日开始到 11 月 6 日结束，为期 4 周。

The course will be taught for three hours a day, three days a week for four weeks starting 13 October and ending on 6 November.

为了获得这门课程的学分，学生必须在 2014 年 1 月 16 日前将评论发送给古德曼教授在 [david.goodman@sydney.edu.au](mailto:david.goodman@sydney.edu.au)。

In order to obtain credit for this course students must deliver the essay for assessment to Prof Goodman at [david.goodman@sydney.edu.au](mailto:david.goodman@sydney.edu.au) by 16 January 2015.

## 时间表      **Timetable**

### **10月13日（星期一）**

- 1、介绍
- 2、课程组织
- 3、学术技能：问题和答案

Monday 13 October

1. Introduction
2. Course organisation
3. Academic skills: Questions and answers

### **10月15日星期三**

- 1、讲座：经济、帝国和种族
- 2、讨论：什么是中国特色？

Wednesday 15 October

1. Lecture: Economics, Empire and Ethnicity
2. Discussion: What is Chineseness ?

### **10月16日（星期四）**

- 1、讲座：中国模式
- 2、讨论：所有社会的发展都是以同样的方式吗？
- 3、怎么写英文评论

Thursday 16 October

1. Lecture: The China Model
2. Discussion: Do all societies develop the same way ?
3. How do we write an essay

### **10月20日（星期一）**

- 1、讲座：阶级和统治阶级
- 2、讨论：是什么让一个阶级成为统治阶级？

Monday 20 October

1. Lecture: Class and ruling class
2. Discussion: What makes a ruling class ?

### **10月22日（星期三）**

- 1、讲座：为什么中国没有新的中产阶级
- 2、讨论：中国有中产阶级吗？

#### **Wednesday 22 October**

1. Lecture: Why China has no new middle class
2. Discussion: Does China have a middle class ?

### **10月23日（星期四）**

- 1、讨论：在中国是否有工人阶级意识？
- 2、实用项目：对阶级的观察

#### **Thursday 23 October**

1. Discussion: Is there working class consciousness in China ?
2. Practical project: The observation of class

### **10月27日（星期一）**

- 1、讲座：关于变化的叙事
- 2、讨论：过去是如何形塑现在的？

#### **Monday 27 October**

1. Lecture: Narratives of change
2. Discussion: How does the past shape the present ?

### **10月29日（星期三）**

- 1、讲座：对地方进行改革
- 2、讨论：种族和民族之间有什么区别？

#### **Wednesday 29 October**

1. Lecture: Reforming the local
2. Discussion: Is there a difference between ethnicity and nationality ?

### **10月30日星期四**

- 1、讨论：地方主义者是怎样的中国文化？
- 2、实用项目：一个地区的社会、文化和经济发展

#### **Thursday 30 October**

1. Discussion: How provincialist is Chinese culture ?
2. Practical project: The social, cultural and economic development of a locality

**11月3日（星期一）**

- 1、讲座：不平等有什么错？
- 2、讨论：我们如何测量平等？

**Monday 3 November**

1. Lecture: What's wrong with inequality ?
2. Discussion: How do we measure equality ?

**11月5日（星期三）**

- 1、讲座：为什么女人很重要
- 2、讨论：性别不平等能被最小化吗？

**Wednesday 5 November**

1. Lecture: Why women count
2. Discussion: Can gender inequality be minimized ?

**11月6日（星期四）**

- 1、讨论：非福利国家是否存在中产阶级社会？
- 2、实项目：从比较的角度来看中国的发展

**Thursday 6 November**

1. Discussion: Can middle class society exist without a welfare state ?
2. Practical project: China's development in comparative perspective

## 学生作业 Student assignments

从下列题目中选择一个进行课堂讲演和评论写作：

- 1、‘Chineseness’ 是什么？
- 2、所有社会的发展形式相同吗？
- 3、是什么让一个阶级成为统治阶级？
- 4、中国有没有中产阶级？
- 5、中国工人有没有阶级意识？
- 6、过去是如何形塑现在的？
- 7、地方主义者是怎样的一种中国文化？
- 8、我们如何测量平等？
- 9、是否可以尽量减少性别不平等？
- 10、非福利国家是否存在中产阶级社会？

### Presentations and essays

One of these:

1. What is Chineseness ?
2. Do all societies develop the same way ?
3. What makes a ruling class ?
4. Does China have a middle class ?
5. Is there working class consciousness in China ?
6. How does the past shape the present ?
7. How provincialist is Chinese culture ?
8. How do we measure equality ?
9. Can gender inequality be minimized ?
10. Can middle class society exist without a welfare state ?

实际项目(小组工作)

- 1、街头观察阶级
- 2、一个地区的社会、文化和经济发展
- 3、从比较的角度来看中国的发展

### Practical projects – group work

1. Street observation of class
2. Social, cultural and economic development of a locality
3. China’s development in comparative perspective

## 阅读 Readings

### 第一周：理解社会

### Week 1 - Understanding Society

一般阅读

General reading

Sally Sargeson, Andrew Kipinis, Tamara Jacka

*Contemporary China: Society and Social Change*  
Cambridge University Press, 2013

Xiaowei Zang (ed) *Understanding Chinese Society* Routledge, 2011.

1. 课程介绍，方法和讨论  
我们是谁？

1. *Course introduction, methods and discussion*  
Who are we ?

讲座和小组讨论

*Lectures and discussion groups*

2. 历史和意识；社会和社会阶层

2. *History and consciousness; community and class*

讨论问题

Discussion question

‘Chineseness’ 是什么？

What is Chineseness ?

阅读

Readings

Marjorie Dryburgh ‘Foundations of Chinese identity: place, past, and culture’ in Xiaowei Zang (ed) *Understanding Chinese Society* Routledge, 2011.

Gang Zhao ‘Reinventing China: Imperial Qing Ideology and the Rise of Modern Chinese National Identity in the Early Twentieth Century’ in *Modern China* 2006.

黄兴涛，现代“中华民族”观念形成的历史考察——兼论辛亥革命与中华民族认同之关系，浙江社会科学，2002年第1期。

3. 现代化和全球化

3. *Modernisation and globalisation*

讨论问题

Discussion question

所有社会的发展形式相同吗？

Do all societies develop the same way ?

阅读资料

Readings

W. W. Rostow ‘The Stages of Economic Growth’ in *The Economic History Review* 1959.

Ivan Szelenyi 'A theory of transitions' in *Modern China* 2008.

Kenneth Pomeranz 'Is There an East Asian Development Path? Long-Term Comparisons, Constraints, and Continuities' in *Journal of the Economic and Social History of the Orient* 2001.

Minglu Chen and David S G Goodman 'The China Model: One country, six authors' in *Journal of Contemporary China* 2012.

孙立平，社会转型：发展社会学的新议题，社会学研究，2005年第1期。

李春玲、李实，市场竞争还是性别歧视，社会学研究，2008年第2期。



## 第二周：社会阶层

## Week 2 - Social class

### 一般阅读

### General reading

陆学艺（主编），当代中国社会阶层研究报告，北京：社会科学文献出版社，2002.

梁晓声，中国社会各阶层分析，北京：文化艺术出版社，2011.

David Goodman *Class in Contemporary China* China Polity Press, 2014

Alvin Y. So (2003) 'The changing pattern of classes and class conflict in China' in *Journal of Contemporary Asia* 33:3, 363-376.

Bian Yanjie 'Chinese Social Stratification and Social Mobility' in *Annual Review of Sociology* 2002, 29, 91-116.

李培林(et al.)，中国社会分层，北京：社会科学文献出版社，2004.

李春玲，断裂与碎片：当代中国社会阶层分化实证分析，北京：社会科学文献出版社，2005.

### 讲座，小组讨论

### Lectures and discussion groups

#### 4. 社会阶层

#### 4. Class and social stratification

#### 讨论问题

#### Discussion question

是什么让一个阶级成为统治阶级？ What makes a ruling class ?

### 阅读资料

### Readings

Yingjie Guo 'Classes without Class Consciousness and Class Consciousness without Classes: The Meaning of Class in the People's Republic' in *Journal of Contemporary China* September 2012.

Xiaowei Zang 'Market transition, wealth and status claims' in David Goodman (ed) *The New Rich in China* Routledge, 2008.

Liu Xin 'Institutional Basis of Social Stratification in Transitional China' in Deborah S Davis and Wang Feng (ed) *Creating Wealth and Poverty in Postsocialist China* Stanford University Press, 2008.

Huang, Philip C 'Misleading Chinese Legal and Statistical categories' in *Modern China* 2013 39: 347.

刘欣，当前中国社会阶层分化的制度基础，社会学研究，2005年第5期。

谢立中，多元话语分析：以社会分层研究为例，社会学研究，2008年第1期。

陆学艺，中国社会阶级阶层结构变迁60年，中国人口资源与环境，2010年第7期。

## 5. 中产阶层

## 5. Middle Classes

### 讨论问题

### Discussion question

中国有没有中产阶级？

Does China have a middle class ?

### 阅读资料

### Readings

周晓虹，中产阶级：何以可能与何以可为？江苏社会科学，2002年第6期。

周晓虹，再论中产阶级：理论、历史与类型学，社会，2005年第4期。

秦言，中国中产阶级：未来社会结构的主流，北京：中国计划出版社，1999.

David S G Goodman 'The Middle Classes in China: Social Intermediaries and the Party-state' in Yingjie Guo (ed) *Handbook of Class in China* Edward Elgar 2014

Ann Anagnost, 'The Corporeal Politics of Quality ( Suzhi )' in *Public Culture* 16, 2, Spring 2004, 189-208.

Ann Anagnost 'From 'Class' to 'Social Strata': grasping the social totality in reform-era China' in *Third World Quarterly* 2008, 29: 3, 497 — 519.

## 6. 工人和农民

## 6. Workers and peasants

### 讨论问题

### Discussion question

中国工人有没有阶级意识？

Is there working class consciousness in China ?

### 阅读资料

### Readings

Anita Chan and Kaxton Siu 'Chinese migrant workers: factors constraining the emergence of class consciousness' in Beatriz Carrillo and David Goodman (ed) *China's Peasants and Workers: Changing class identities* Edward Elgar, 2012.

Parry Leung and Alvin So "The making and re-making of the working class in South China' in Beatriz Carrillo and David Goodman (ed) *China's Peasants and Workers: Changing class identities* Edward Elgar, 2012.

Jean-Louis Rocca 'Old working class, new working class' in Fisac Badell and Leila Fernandez-Stembridge (eds) *China Today: Economic reforms, social cohesion and collective identities* London: Routledge, 2003, p. 77–104.

Chris King-Chi Chan 'Class or citizenship? Debating workplace conflict in China' in *Journal of Contemporary Asia* 2012, 42, 308–27.

实际项目： 阶级观察

学生将分组花两个小时在南京市中心观察阶级差别和区别。小组的调查结果将在最后一次讨论会上进行报告。

*Practical project: The observation of class.*

Students will be organised in groups to spend two hours in Nanjing Downtown observing class differences and distinctions. Group findings will be presented at a final discussion session.

### 第三周：区域的多样性

### Week 3 - Regional Diversity

一般阅读

General reading

David SG Goodman (ed) *China's Provinces in Reform: Class, community and political culture* Routledge, 1997.

讲座和小组讨论

*Lectures and discussion groups*

7. 帝国传统

7. The imperial tradition

讨论问题

Discussion question

帝国传统是否有助理解当代中国？  
Does the imperial tradition offer anything to an understanding of contemporary China ?

阅读资料

Readings

R Bin Wong 'Social Stratification: The Legacy of the Late Imperial Past' in Deborah S Davis and Wang Feng (ed) *Creating Wealth and Poverty in Postsocialist China* Stanford University Press, 2008.

Mark Elvin 'China's multiple revolutions' in *New Left Review* 71, September-October 2011, 83.

Fei Hsiao-tung (Fei Xiaotong) 'Peasantry and Gentry: An Interpretation of Chinese Social Structure and its Changes' in *American Journal of Sociology* 1946, 52, 1-17.

Marjorie Dryburgh 'Foundations of Chinese identity: place, past, and culture' in Xiaowei Zang (ed) *Understanding Chinese Society* Routledge, 2011.

8. 种族

8. Ethnicity

讨论问题

Discussion question

种族和民族之间有什么差异？

Is there a difference between ethnicity and nationality ?

阅读资料

Readings

William Calhoun 'Nationalism and ethnicity' in *Annual Review of Sociology* 1993.

Katherine Palmer Kaup 'Regionalism versus Ethniconationalism in the People's Republic of China' in *The China Quarterly* 2002.

J. Milton Yinger 'Ethnicity' in *Annual Review of Sociology* 1985.

Ma Rong 'A Han vs. Minorities Dual Structure of Chinese Society' in *China: An International Journal* 11, 2, August 2013, 7-24.

## 9. 各个区省市县

## 9. Provincialism

### 讨论问题

### Discussion question

地方主义者是怎样的中国文化？

How provincialist is Chinese culture ?

### 阅读资料

### Readings

C W Kenneth Keng 'China's unbalanced economic growth' in *Journal of Contemporary China* 2006.

Gerhard K Heilig 'Many Chinas ? The economic diversity of China's provinces' in *Population and Development Review* 2006.

David S G Goodman 'Narratives of Change: Culture and Local Economic Development' ['经济变革的文化叙述'] in Barbara Krug and Hans Hendrichke (ed) *The Chinese Economy in the 21<sup>st</sup> Century: Enterprise and Business Behaviour* Edward Elgar, 2007.

Joseph Levenson 'The province, the nation and the world: the problem of Chinese identity' in Albert Feuerwerker, Rhoads Murphey, and Mary C Wright (ed) *Approaches to Modern Chinese History* University of California Press, 1967, p.268-288.

王小鲁、樊纲，中国地区差距的变动趋势和影响因素，经济研究，2004年第1期。

### 实际项目：国内对比分析

学生将分组比较和对比一个或多个县 / 市的社会、文化和经济特点。小组的调查结果将在最后一次讨论会上报告。

### *Practical project: Domestic comparative analysis*

Students will work in groups to compare and contrast social, cultural, and economic characteristics in one or more cities or counties. Group findings will be presented at a final discussion session.

## 第四周: 平等

## Week 4 - Equality

一般阅读

General reading

Wanning Sun and Yingjie Guo (ed) *Unequal China* Routledge, 2012.

讲座、小组讨论

*Lectures and discussion groups*

10. 收入和财富

10. Income and wealth

讨论问题

Discussion question

我们如何测量平等?

How do we measure equality ?

阅读资料

Readings

Xin Meng 'Wealth accumulation and distribution in urban China' IZA Discussion Paper 2007.

Deborah Davis and Wang Feng 'Poverty and wealth in post Socialist China' in Deborah S Davis and Wang Feng (ed) *Creating Wealth and Poverty in Postsocialist China* Stanford University Press, 2008.

Chunping Han and Marty King Whyte 'Social Contours of Distributive Justice' in Deborah S Davis and Wang Feng (ed) *Creating Wealth and Poverty in Postsocialist China* Stanford University Press, 2008.

David Goodman 'What's wrong with inequality: Power, culture, and opportunity' in Sun and Guo (ed) *Unequal China* Routledge, 2012.

Qin Gao and Carl Riskin 'Market Versus Social benefits: Explaining China's Changing Income Inequality' in Deborah S Davis and Wang Feng (ed) *Creating Wealth and Poverty in Postsocialist China* Stanford University Press, 2008.

Chen, Jiandong Dai Dai, Ming Pu, Wenxuan Hou, Qiaobin Feng 'The Trend of the Gini Coefficient of China' Brooks World Poverty Institute Working Paper 109, 2010.

马戎, 经济发展中的贫富差距问题, 北京大学学报(哲学社会科学版), 2009 年第 1 期。

吴小英, 市场化背景下性别话语的转型, 中国社会科学, 2009 年第 2 期。

11. 性别 11. Gender

讨论问题 Discussion question

是否可以尽量减少性别不平等? Can gender inequality be minimized ?

阅读资料 Readings

Jieyu Liu 'Gender and sexuality' in Xiaowei Zang (ed) *Understanding Chinese Society* Routledge, 2011.

Philip Cohen and Wang Feng 'Market and Gender Pay Equity: Have Chinese Reforms Narrowed the Gap ?' in Deborah S Davis and Wang Feng (ed) *Creating Wealth and Poverty in Postsocialist China* Stanford University Press, 2008.

Deborah Davis 'Who gets the house ?' in *Modern China* 2010.

Margaret Woo 'Shaping Citizenship: Chinese Family Law and Women' in *Yale Journal of Law and Feminism* 2003.

Pun, Ngai 'Becoming Dagongmei: The politics of identity and difference in reform China' in *The China Journal* 1999, 42, 1-19.

12. 社会福利 12. Social welfare

讨论问题 Discussion question

非福利国家是否存在中产阶级社会? Can middle class society exist without a welfare state?

阅读资料 Readings

Yong Cai 'Regional Inequality in China: Mortality and Health' in Deborah S Davis and Wang Feng (ed) *Creating Wealth and Poverty in Postsocialist China* Stanford University Press, 2008.

Mads Meier Jæger 'What Makes People Support Public Responsibility for Welfare Provision: Self-Interest or Political Ideology? A Longitudinal Approach' in *Acta Sociologica* 2006.

Elim Papadakis 'Class Interests, Class Politics and Welfare State' in *The British Journal of Sociology* 1993.

Jill Quadagno 'Theories of the Welfare State' in *Annual Review of Sociology* 1987.

王思斌, 我国适度普惠型社会福利制度的建构, 北京大学学报(哲学社会科学版), 2009 年第 5 期。

实际项目： 国际对比分析

学生将分组从国际角度考虑中国的经济增长和发展。小组的调查结果将在最后一次讨论会上报告。

*Practical project: International comparative analysis*

Students will work in groups to consider China's growth and development in international perspective. Group findings will be presented at a final discussion session.